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In re application of:

Alex ULLRICH et al

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For: EGF RECEPTOR TRANSACTIVATION BY G-PROTEIN-COUPLED RECEPTORS  
REQUIRES METALLOPROTEINASE CLEAVAGE OF proHB-EGF

**PRELIMINARY AMENDMENT**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

December 14, 1999

Sir:

Prior to calculation of the filing fee and prior to the examination of this application,  
please amend the above-identified application as follows:

**IN THE CLAIMS:**

Please amend the claims as follows:

Claim 3, line 1, delete "or 2".

Claim 4, line 1, delete "any one of claims 1-3" and insert therefor --claim 1--.

Claims 5, 6, 16, 17 and 19, line 1 of each, delete "any one of the previous claims"  
and insert therefore --claim 1--.

Claim 9, line 1, delete "any one of claims 7 or 8" and insert therefor --claim 7--.

Claim 11, line 1, delete "or 10".

Claim 12, line 1, delete "any one of claims 7-11" and insert therefor --claim 7--.

Claim 13, line 1, delete "any one of the claims 7-12" and insert therefor --claim 7--.

Claim 15, line 1, delete "any one of claims 7 to 14" and insert therefor --claim 7--.

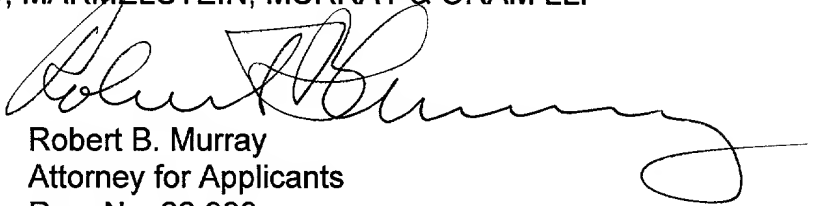
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REMARKS

The above amendment to the claims has been made to correct the multiple dependency of the claims and to put the application in better condition for examination.

In the event that any fees are due in connection with this paper, please charge our Deposit Account No. 14-1060.

Respectfully submitted,  
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**EGF receptor transactivation by G-Protein-coupled receptors requires metalloproteinase cleavage of proHB-EGF**

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**Specification**

The present invention relates to agents and methods for modulating growth-factor receptor activation by modulating G-protein mediated signal transduction.

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Crosstalk between different signalling systems allows the integration of a great diversity of stimuli that a cell receives under varying physiological situations. Transactivation of EGF receptor-dependent signalling pathways upon stimulation of G-protein-coupled receptors (GPCR) which are critical for the mitogenic activity of ligands such as LPA, endothelin, thrombin, bombesin and carbachol represents evidence for such an interconnected communication network. The mechanism of this cross-communication is not understood, but based on reported data it was proposed to be transmitted by intracellular elements<sup>1-4</sup>.

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We report here that activation of growth-factor receptors such as epidermal growth-factor receptor (EGFR) upon GPCR stimulation requires the receptor's extracellular domain. As key element of this mechanism we identify a membrane-spanning growth-factor ligand precursor, such as proHB-EGF, and a proteinase activity that is rapidly induced upon GPCR-ligand interaction. We show that inhibition of growth-factor precursor processing blocks GPCR-induced growth-factor receptor transactivation and downstream signals. As evidence for the pathophysiological significance of this mechanism we demonstrate inhibition of constitutive EGFR activity upon treatment of human PC-3 prostate carcinoma cells with the metalloproteinase inhibitor batimastat. Together, these results establish a new mechanistic concept for crosstalk among different signalling systems.

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Further, the results demonstrate the importance of proteinases as targets for the treatment or prevention of diseases which are associated with pathological growth-factor receptor overexpression.

- 5 In a first aspect the invention relates to the use of modulators of G-protein mediated signal transduction for the manufacture of an agent which modulates growth-factor receptor activation. Preferably the activation of the growth-factor receptor is mediated by its extracellular domain and via an extracellular signal pathway. Thus the modulator may act on cells which are
- 10 heterologous to the growth-factor receptor carrying target cells. The growth-factor receptor activation preferably occurs by tyrosine phosphorylation, by which an intracellular signal cascade is mediated. Examples of suitable growth-factor receptors are EGFR, and other members of the EGFR family such as HER-2, HER-3 or HER-4, but also other growth-
- 15 factor receptors such as TNF receptor 1, TNF receptor 2, CD 30 and IL-6 receptor.

- The modulator of the G-protein mediated signal transduction may act on one or several compounds of the signal transduction pathway. Particularly,
- 20 the modulator may act on a G-protein, a G-protein coupled receptor, a proteinase and/or a growth-factor precursor which are key elements of the signal transduction pathway. Preferably the modulator acts on a proteinase.

- The substrate which is subject to cleavage by the protease is preferably a
- 25 growth-factor receptor ligand precursor. This precursor is preferably a membrane-associated molecule. In a particularly preferred example the growth-factor ligand precursor is proHB-EGF which is cleaved to HB-EGF and the growth-factor receptor is EGFR. Other preferred examples of growth-factor ligands which are cleaved from precursors are other members
- 30 of the EGF family such as TGF $\alpha$ , amphiregulin, epiregulin, EGF, betacellulin, members of the heregulin/NDF family including isoforms thereof and TNF $\alpha$ .

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The proteinase which is modulated is usually a membrane-associated proteinase, preferably a metalloproteinase such a zinc-dependent proteinase. Examples of these proteinases are members of the ADAM family. The modulation of proteinase activity may comprise a stimulation or inhibition.

5 Preferably the proteinase activity is inhibited which in turn results in an inhibition of growth-factor receptor activation.

The modulation of proteinase activity is preferably effected by adding an acitvator or inhibitor of proteinase activity to the system which in a  
10 particular preferred embodiment directly modulates the proteinase activity. A preferred example for such a modulator for proteinase activity is the proteinase inhibitor batimastat. Further examples are marimastat (British Biotech), TAPI (Immunex) and TIMP-1, -2, -3 or -4, particularly TIMP-3<sup>31</sup>. Still a further example is CRM197, a catalytically inactive form of the  
15 diphtheria toxin, which specifically binds to proHB-EGF and which is capable of blocking the processing of proHB-EGF by metalloproteinases.

The modulation of G-protein modulated signal transduction has great significance for diagnostic and clinical applications. For example, the  
20 modulation of G-protein mediated signal transduction is a target for the prevention or treatment of disorders associated with or accompanied by a disturbed e.g. pathologically enhanced growth-factor receptor acitvation. More particularly, the present invention provides methods for preventing or treating, among other diseases, hyperproliferative diseases such as colon,  
25 pancreatic, prostate, gastric, breast, lung, thyroid, pituitary, adrenal and ovarian tumors, as well as thyroid hyperplasia, retinitis pigmentosa, precocious puberty, acromegaly and asthma. More particularly, the growth of human prostate cancer cells may be inhibited by treatment with proteinase inhibitors such as batimastat.

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Thus, the present invention provides a method for modulating growth-factor activation comprising contacting a cell or an organism which contains a

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growth-factor receptor capable of being activated with a modulator of G-protein mediated signal transduction. The contacting step may occur in vitro, e.g. in a cell culture or in vivo, e.g. in a subject in the need of medical treatment, preferably a human. The active agent is added in an amount  
5 sufficient to modulate growth-factor receptor activation, particularly in an amount sufficient to inhibit growth-factor receptor activation at least partially. Preferably the active agent is administered as a pharmaceutically acceptable composition, which may contain suitable diluents, carriers and auxiliary agents. The composition may also contain further pharmaceutically  
10 active agents e.g. cytotoxic agents for the treatment of cancer.

Pharmaceutical compositions suitable for use in the present invention include compositions wherein the active ingredients are contained in an effective amount to achieve its intended purpose. A therapeutically effective  
15 dose refers to that amount of the compound that results in amelioration of symptoms or a prolongation of survival in a patient. Toxicity and therapeutic efficacy of such compounds can be determined by standard pharmaceutical procedures in cell cultures or experimental animals, e.g. for determining the LD50 (the dose lethal to 50% of the population) and the ED50 (the dose  
20 therapeutically effective in 50% of the population). For any compound used in the method of the invention, the therapeutically effective dose can be estimated initially from cell culture assays. For example, a dose can be formulated in animal models to achieve a circulating concentration range that includes the IC50 as determined in cell culture (i.e. the concentration  
25 of the test compound which achieves a half-maximal inhibition of the growth-factor receptor activity). Such information can be used to more accurately determine useful doses in humans. The dose ratio between toxic and therapeutic effects is the therapeutic index and it can be expressed as the ratio between LD50 and ED50. Compounds which exhibit high  
30 therapeutic indices are preferred. The exact formulation, route of administration and dosage can be chosen by the individual physician in view

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of the patient's condition (see e.g. Fingl et al., 1975, in "The Pharmacological Basis of Therapeutics", Ch. 1, p. 1).

Dosage amount and interval may be adjusted individually to provide plasma levels of the active moiety which are sufficient to maintain the receptor  
5 modulating effects, or minimal effective concentration (MEC). The MEC will vary for each compound but can be estimated from in vitro data, e.g. the concentration necessary to achieve a 50-90% inhibition of the receptor using the assays described herein. Compounds should be administered using a regimen which maintains plasma levels above the MEC for 10-90%  
10 of the time, preferably between 30-90% and most preferably between 50-90%. Dosages necessary to achieve the MEC will depend on individual characteristics and route of administration. In cases of local administration or selective uptake, the effective local concentration of the drug may not be related to plasma concentration.

15 The actual amount of composition administered will, of course, be dependent on the subject being treated, on the subject's weight, the severity of the affliction, the manner of administration and the judgement of the prescribing physician. For batimastat, and other compounds e.g. a  
20 daily dosage of 1 to 200 mg/kg, particularly 10 to 100 mg/kg per day is suitable.

Suitable routes of administration may, for example, include oral, rectal, transmucosal, or intestinal administration; parenteral delivery, including  
25 intramuscular, subcutaneous, intramedullary injections, as well as intrathecal, direct intraventricular, intravenous, intraperitoneal, intranasal, or intraocular injections.

Alternatively, one may administer the compound in a local rather than a  
30 systematic manner, for example, via injection of the compound directly into a solid tumor, often in a depot or sustained release formulation.

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Furthermore, one may administer the drug in a targeted drug delivery system, for example in a liposome coated with a tumor-specific antibody. The liposomes will be targeted to and taken up selectively by the tumor.

5 Still a further aspect of the present invention is a method for identifying and providing modulators of G-protein mediated signal transduction comprising contacting a cell which contains a growth-factor receptor capable of being activated with a test compound suspected to be a modulator of G-protein mediated signal transduction and determining the degree of growth-factor  
10 receptor activation. This method is suitable as a high-throughput screening procedure for identifying novel compounds or classes of compounds which are capable of modulating G-protein signal transduction. Further, the present invention encompasses any novel modulator identified by the disclosed method.

15 In a preferred embodiment of the invention cell lines expressing G-protein coupled receptors and/or metalloproteinases may be used to screen for and identify compounds that inhibit the activity of growth-factor receptors.

20 The ability of test compounds to inhibit the activity of growth-factor receptors extracellularly activated by G-protein coupled receptor mediated signalling pathways can be determined as described in the examples.

Further, the present invention is described in detail by the following figures  
25 and examples:

#### Description of Figures

Figure 1 GPCR-induced EP-R transactivation redefines endogenous  
30 EGFR-mediated signalling to PDGFR-specific signals. Proteins were immunoblotted with  $\alpha$ PY antibody (4G10).

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- a) Rat-1/EP-R cells were 3 minutes treated with ET-1 (200 nM), thrombin (2U/ml) and EGF (2ng/ml) or
  - b) preincubated with tyrphostins as indicated prior to thrombin stimulation and EP-R was selectively precipitated with mAb 108.1.
  - c) Different stable Rat-1 cell lines were untreated or
  - d) 1h preincubated with EGFR-E Ab ICR-3R (20µg/ml), stimulated for 3 minutes with GPCR agonists, EGF or PDGF-BB (25 ng/ml) as indicated and SHP-2 was precipitated.
  - 10 e) Rat-1/EP-R were treated as in b) and SHC was immunoprecipitated.

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Figure 2 Carbachol-induced intercellular transactivation of the EGF receptor. Stable Rat-1 cell lines either expressing M1R or HERc and control cells were mixed in 1:3 ratio. In

- 20
- a) after stimulation with carbachol (1mM), HERc was precipitated and immunoblotted with  $\alpha$ PY antibody.
  - b) Co-cultures of Rat-1/M1R and Rat-1/HERc cells were planted in different densities, preincubated with EGFR-E blocking Ab ICR-3R (20µg/ml) and HERc was precipitated following carbachol-stimulation.
  - c) High density co-cultures of Rat-1/M1R and Rat-1/HERc cells were incubated with heparitinase or chlorate and HERc was precipitated following carbachol- or EGF-stimulation.
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Figure 3 GPCR-induced EGFR transactivation and adapter protein tyrosine phosphorylation is dependent on HB-EGF function. a), c), d) COS-7 and b) HEK 293 cells, transfected with the M1R or ET-R, respectively, untreated or CRM197 preincubated, were stimulated for 3 minutes with the GPCR agonists LPA (10 µM) or Carbachol (1 mM), EGF (2ng/ml) or 1 µM TPA (5 min) as indicated. Subsequently EGFR (a,b), SHC (c) or Gab

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1(d) was immunoprecipitated and proteins were immunoblotted with  $\alpha$ PY antibody (4G10).

**Figure 4** GPCR-induced proteolytic processing of proHB-EGF and EGFR transactivation are critically dependent on metalloproteinase function.

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- a) COS-7 cells were co-transfected with either M1R or BombR (0.5  $\mu$ g each) and VSV-proHB-EGF (0.7  $\mu$ g) and stimulated with carbachol (1 mM), bombesin (200 nM), TPA (1  $\mu$ M) or EGF (2 ng/ml). ProHB-EGF was analysed with  $\alpha$ HB-EGF Ab (upper part), cleaved VSV-HB-EGF was monitored by anti VSV immunoblotting (lower part).
- b) COS-7 cells transfected as in a) were preincubated with batimastat (5  $\mu$ M, 30 min), stimulated as indicated and anti-VSV immunoprecipitates were subjected to  $\alpha$ HB-EGF immunoblotting.
- c) Flow cytometric analyses of proHB-EGF in COS-7 cells treated for 10 minutes with LPA, TPA, EGF or batimastat preincubation following LPA stimulation.
- d,e) COS-7 cells, transfected with the M1R, untreated or BB-94 preincubated, were stimulated as in Fig. 3a) and EGFR (d) or SHC (e) were immunoprecipitated. Proteins were immunoblotted with  $\alpha$ PY antibody (4G10).
- f) PC-3 cells were serum-starved for 36 hours, preincubated with batimastat and stimulated for 3 minutes with bombesin, TPA or EGF (7ng/ml) as indicated. EGFR was immunoprecipitated and immunoblotted with  $\alpha$ PY antibody.
- g) Unstarved PC-3 cells were treated for indicated times with DMSO or batimastat and EGFR tyrosine phosphorylation was monitored with  $\alpha$ PY immunoblot.

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## Examples

### 1. Methods

#### Cloning and plasmids

5 The following plasmids have been described: pcDNA1-BombR and pcDNA3-M1R<sup>1</sup>. For stable expression of the M1R in Rat-1 cells the receptor was subcloned into pLXSN. pro-HB-EGF and the Endothelin receptor were amplified by PCR from a MCF-7 or Rat-1 cDNA library and subcloned into pcDNA3-VSV or pcDNA3, respectively.

#### Cells and transfections

10 Rat-1 cells and COS-7 cells were grown and infected or transfected, respectively, as described<sup>1,2</sup>. Rat-1HERc cells have been described elsewhere<sup>1</sup>. HEK 293 cells were grown in DMEM containing 10% fetal calf serum (FCS) and transfections were carried out using the Ca-phosphate method. CRM197 (10 µg/ml, Sigma) or batimastat (BB-94), (5 µM, British Biotech) were added 20 minutes before the respective growth-factor. Tyrphostin AG1478 (250 nM, Calbiochem) and AG1295 (1 µM, Calbiochem) were added 15 minutes before stimulation.

#### Immunoprecipitation and Western blotting

25 The antibodies against human EGFR (108.1), SHP-2, Shc and Gab1 have been characterized<sup>1,12,19,2</sup>. Western blotting against the EP-R chimera was performed using rabbit polyclonal α-hPDGFRβ antibody (Upstate Biotechnology). Cells were lysed and proteins were subsequently immunoprecipitated as described<sup>1</sup>. To precipitate the VSV-tagged HB-EGF a monoclonal VSV antibody (P5D4, Boehringer) in combination with Protein G-Sepharose was used, HB-EGF was detected with antibody C-18 (Santa-Cruz). Due to the small size of pro-HB-EGF and the processed form of HB-EGF we used the Tricine SDS-PAGE system established by Schlägger as described<sup>30</sup>.

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**Flow cytometry analysis**

COS-7 cells were seeded in 6 cm-dishes; 20h later cells were washed and cultured for a further 24h in serum-free medium until treatment with growth factors as indicated. After collection cells were incubated with goat  $\alpha$ HB-EGF antibody (R&D Systems) for 30 minutes on ice. After washing with PBS, cells were incubated with FITC-conjugated rabbit anti-goat antibody (Sigma) for 20 minutes on ice. Cells were analysed with FACSCalibur (Becton Dickinson).

**2. Results**

Epidermal growth-factor receptor (EGFR) transactivation was identified as a critical element in mitogenic signalling<sup>1,5,6</sup> induced by G-protein-coupled receptors (GPCR), regulation of chloride channels<sup>7</sup>, as well as modulation of potassium channel activity<sup>8</sup>. Since the process was found to be very rapid<sup>1,7,9</sup>, and GPCR-induced release of EGFR ligands into the cell culture medium could not be detected<sup>6,8</sup>, EGFR transactivation has been generally assumed to be exclusively mediated via intracellular signals<sup>3,4</sup>.

Surprisingly, however, even though PDGF receptors are not transactivated upon treatment of Rat-1 cells with GPCR ligands<sup>2</sup>, this was the case for a chimera EP-R consisting of an EGFR extracellular and the platelet-derived growth-factor receptor (PDGFR) transmembrane and cytoplasmic signalling domain<sup>10</sup> (Fig. 1a). This receptor chimera immunoprecipitates with monoclonal antibody 108.1 which recognizes the extracellular portion of human but not rat EGFR. Treatment of Rat-1/EP-R cells with the PDGFR inhibitor AG1295<sup>11</sup>, but not with the EGFR kinase antagonist AG1478<sup>1</sup>, blocked thrombin-induced tyrosine phosphorylation of the chimeric receptor (Fig. 1b), which clearly demonstrated a critical function of the EGFR extracellular domain for GPCR-mediated transactivation. As

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shown in Fig. 1c, this EP-R transactivation results in a PDGF-characteristic downstream signal, since the SH2 domain-containing phosphatase 2 (SHP-2), a preferred mediator of PDGFR signalling<sup>12</sup>, was tyrosine phosphorylated upon endothelin (ET-1) and thrombin stimulation of Rat-1/EP-R cells, while exposure to the same ligands did not induce SHP-2 tyrosine phosphorylation in Rat-1 cells overexpressing the PDGFR or control cells. Pretreatment of Rat-1/EP-R cells with monoclonal antibody ICR-3R<sup>13</sup> that blocks ligand binding to the human EGFR resulted in complete inhibition of ET-1 and EGF-induced SHP-2 tyrosine phosphorylation, whereas the PDGF-mediated response was not affected (Fig. 1d), confirming that GPCR-induced transactivation of the EP-R chimera depends on the extracellular EGFR domain. In contrast to the results obtained for SHP-2 (Fig. 1c), tyrosine phosphorylation of the adaptor protein SHC following thrombin stimulation was completely blocked by pretreatment of Rat-1/EP-R cells with AG1478, but remained unaffected by preincubation with the PDGFR antagonist AG1295 (Fig. 1e). This confirms that thrombin transactivates endogenous rat EGFR in Rat-1/EP-R cells resulting in SHC tyrosine phosphorylation, whereas activation of the EP-R chimera redefines thrombin stimulation to generate a PDGFR-characteristic SHP-2 signal.

To address the question whether the extracellular signal which activates the EP-R chimera acts via an autocrine or paracrine mode, we performed a co-culture experiment with Rat-1 cells either stably overexpressing the M1 muscarinic acetylcholine receptor (M1R) or the human EGFR (HERc) at a ratio of one to one. Stimulation of the Rat-1/M1R + Rat-1/HERc co-culture with the M1R agonist carbachol prior to immunoprecipitation with human EGFR-specific antibody 108.1, rapidly induced tyrosine phosphorylation of HERc (Fig. 2a). Since neither of the control cells responded to carbachol, this result clearly demonstrated the possibility of transactivation between two

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cells. To investigate the influence of cell density on this paracrine process, HERc was immunoprecipitated from subconfluent versus confluent co-cultures of Rat-1/M1R and Rat-1/HERc cells following stimulation with carbachol. As shown in Fig. 2b and 2c, EGFR tyrosine phosphorylation in response to M1R agonist only occurred in confluent co-cultures and was completely inhibited by preincubation with ICR-3R antibody, heparitinase or chlorate. This further demonstrated the requirement of the EGFR ligand binding function for intercellular signal transmission and the necessity of close cell-cell contact. Together, these results lead us to conclude that EGF-like ligands, synthesized as transmembrane precursors and converted to the mature form by proteolytic cleavage<sup>14</sup>, may be involved in GPCR-mediated transactivation. The discrepancy between previous results obtained from medium-transfer experiments<sup>5,8</sup> in which EGF-like ligands could not be detected upon GPCR activation and our finding of density-dependent intercellular crosstalk might be due to a scenario in which upon proteolytic processing EGF-like ligands remain with the heparin sulfate proteoglycan matrix prior to interaction with their high-affinity receptors as shown for fibroblast growth-factors<sup>15</sup>.

Ectodomain shedding has been shown to be induced by stimuli such as activators of heterotrimeric G-proteins,  $\text{AlF}_4^-$  and  $\text{GTP}\gamma\text{S}$ <sup>16</sup>, as well as the PKC activator tetradecanoyl-phorbol-13-acetate (TPA) and the  $\text{Ca}^{2+}$ -ionophore ionomycin<sup>17,18</sup>. The latter, which induces HB-EGF release in prostate epithelial cells<sup>18</sup>, has recently been shown to be a potent activator of EGFR transactivation in PC12 cells<sup>19</sup>, and TPA has been reported to induce EGFR tyrosine phosphorylation in HEK 293 cells<sup>8</sup>. HB-EGF, a member of the EGF family, has the ability to bind to cell surface heparan sulfate proteoglycans<sup>20</sup>, which prevents the immediate release of the growth-factor and increases the local growth factor concentration in the cellular microenvironment. Based

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on these properties the proHB-EGF precursor matched our proposed requirement for GPCR-induced EGFR transactivation. Besides its function as a growth-factor precursor, proHB-EGF serves as a high-affinity receptor for diphtheria toxin (DT)<sup>21</sup>. CRM197, a non toxic mutant of DT, was shown to inhibit strongly and specifically the mitogenic activity of HB-EGF<sup>22</sup>. Therefore, we tested the influence of CRM197 on GPCR-mediated EGFR transactivation. We found that CRM197 pretreatment completely inhibits tyrosine phosphorylation of the EGFR induced by the GPCR agonists lysophosphatidic acid (LPA) or carbachol as well as TPA in COS-7 cells (Fig. 3a). Inhibition was also observed for ET-1 or TPA-stimulated HEK 293 cells transiently transfected with the endothelin receptor (Fig. 3b). In contrast, EGF-induced receptor tyrosine phosphorylation was unaltered demonstrating CRM197 specificity. Furthermore, complete abrogation of LPA- and carbachol-induced receptor tyrosine phosphorylation suggested that HB-EGF is the only growth-factor mediating EGFR transactivation in the cell lines presented here.

Tyrosine phosphorylation of the adaptor protein SHC is considered to be a critical step in the coupling of GPCR activation to Ras-dependent signalling pathways<sup>23</sup>. In order to investigate the role of HB-EGF in this process, we examined the effect of the diphtheria toxin mutant CRM197 on GPCR ligand and TPA-mediated SHC tyrosine phosphorylation. As shown in Fig. 3c, in COS-7 cells, LPA-, carbachol- and TPA-induced SHC tyrosine phosphorylation was dramatically reduced by CRM197 pretreatment, while the EGF-mediated response was not affected. The same inhibitory effect of CRM197 was observed in HEK 293 cells (data not shown). Similarly, in COS-7 cells, tyrosine phosphorylation of the multidocking protein Gab1 in response to LPA or thrombin was not detected in the presence of CRM197 (Fig. 3d) confirming its signalling position downstream of the EGFR<sup>2</sup>.



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Next, in order to examine whether proHB-EGF is proteolytically processed upon stimulation of GPCRs, we transfected plasmids containing VSV-tagged proHB-EGF in COS-7 cells together with the M1R or the bombesin receptor (BombR) and stimulated with  
5        respective ligands for different times. TPA, a potent inducer of proHB-EGF processing, or EGF were added as positive and negative controls, respectively. Figure 4a shows that as previously described proHB-EGF is expressed in form of heterogenous translation products  
10        of 20 to 30 KDa<sup>17</sup>, which can be detected with antibodies against the C-terminus of the precursor (upper panel) or the VSV-tag (lower panel). Stimulation with carbachol or bombesin led to a rapid breakdown of the membrane-anchored growth-factor precursor and proteolytic cleavage was concomitant with the appearance of the  
15        9 KDa VSV-tagged HB-EGF fragment containing the transmembrane anchor. Interestingly, under these conditions the GPCR signal induced proteolytic proHB-EGF processing as fast and potently as TPA. As for TPA<sup>17</sup>, GPCR-induced conversion of proHB-EGF is an extremely rapid process that generates mature HB-EGF. In contrast to GPCR-induced tyrosine phosphorylation of endogenous EGFR which is fast and transient<sup>1,7,9</sup>, overexpression of the protease substrate VSV-proHB-EGF led to a rapid but more sustained ectodomain cleavage of  
20        proHB-EGF.

Since zinc-dependent metalloproteinases have been implicated in proHB-EGF shedding by TPA<sup>24</sup>, we analysed carbachol-induced  
25        processing in the presence of batimastat (BB-94)<sup>25</sup>, a protease inhibitor which has recently been shown to block proteolytic maturation of human amphiregulin<sup>26</sup>. As shown in Fig. 4b, BB-94 treatment significantly reduced HB-EGF processing in response to  
30        carbachol supporting our conclusion that metalloproteinases are critical elements in GPCR-induced HB-EGF generation and EGFR activation. In contrast thereto, PGL-hydroxamate, an MMP-specific

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inhibitor has no effect on LPA- or carbachol-induced transactivation (not shown).

To confirm GPCR-induced proHB-EGF processing, we used an  
5 ectodomain-specific antibody and flow cytometry upon treatment of  
non-transfected COS-7 cells with LPA, TPA or EGF. Within 10  
minutes after addition of LPA and TPA, the content of cell surface  
proHB-EGF was reduced while EGF stimulation showed no effect  
(Fig. 4c). In contrast to the experiments with transfected cells shown  
10 in Fig. 4a and b, activation of endogenous LPA receptors was not as  
potent as TPA to induce proteolytic cleavage of proHB-EGF.  
Nonetheless, consistent with Fig. 4b, the modest LPA-induced effect  
was completely inhibited by batimastat.

Our results demonstrate that metalloproteinase-dependent cleavage  
15 of proHB-EGF is rapidly induced upon activation of GPCRs and  
consequently suggest a critical and general role of this process in  
EGFR transactivation. We therefore investigated the effect of the  
metalloproteinase inhibitor batimastat in GPCR- as well as TPA-  
20 induced EGFR transactivation. In COS-7 cells, BB-94 pretreatment  
completely abrogated LPA- and carbachol-induced tyrosine  
phosphorylation of the EGFR, as well as TPA-mediated receptor  
activation (Fig. 4d). Since TPA- but not GPCR-mediated EGFR  
tyrosine phosphorylation is sensitive to PKC inhibition in COS-7 cells  
25 (data not shown), it appears that at least two distinct  
metalloproteinase-dependent transactivation pathways exist.  
Analogous results were obtained for ET-1-induced transactivation in  
HEK 293 cells and bradykinin-stimulated EGFR tyrosine  
phosphorylation in PC12 cells (data not shown). Finally, the general  
30 implication of proteolytic processing in EGFR transactivation and  
downstream signal transmission is demonstrated by the complete

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abrogation of GPCR- and TPA-induced SHC tyrosine phosphorylation by batimastat (Fig. 4e).

5 Because of the well established role of EGFR family members in the pathogenesis of a variety of cancers and the physiological abundance of GPCR ligands such as LPA, we addressed the pathophysiological significance of transactivation with the human prostate cancer cell line PC-3 which has been reported to utilize EGFR-dependent pathways for growth promotion and is also responsive to the GPCR ligand bombesin<sup>27,28</sup>. Figure 4f shows that in PC-3 cells that were 10 starved for 36 hours, bombesin, TPA and EGF induce tyrosine phosphorylation of the EGFR which is completely blocked by batimastat-treatment. Moreover, even high constitutive phosphotyrosine content of the EGFR in unstarved PC-3 cells is 15 reduced by long-term treatment with BB-94 (Fig. 4g). All in all, our results allow the conclusion that metalloproteinase-mediated precursor cleavage represents a direct link between BombR activation, constitutive tyrosine phosphorylation of the EGFR and proliferation of human prostate cancer cells. Recently, ADAM9, a 20 member of the metalloproteinase-disintegrin family has been reported to process proHB-EGF upon TPA treatment of Vero-H cells<sup>24</sup>. We were unable, however, to block EGFR transactivation with dominant-negative ADAM9 mutants in COS-7 and HEK 293 cells (data not shown) leaving the identity of the precursor processing protease 25 unresolved.

Our findings identify the ubiquitously expressed HB-EGF precursor and a metalloproteinase activity as critical pathway elements between GPCR signals and activation of the EGFR and extend our 30 understanding of the mechanisms that underly the multiple biological processes known to be regulated by heterotrimeric G-proteins. Based on our current state of understanding, GPCR-induced EGFR signal

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transactivation represents a new paradigm because it entails three different transmembrane signal transmission events: First, a ligand activates heterotrimeric G-proteins by interaction with a GPCR which results in an intracellular signal that induces the extracellular activity of a transmembrane metalloproteinase. This then results in extracellular processing of a transmembrane growth-factor precursor and release of the mature factor which, directly or via the proteoglycan matrix, interacts with the ectodomain of the EGFR leading to intracellular autophosphorylation and signal generation. Our previous findings indicate that this pathway may be utilized by a variety of GPCRs in diverse cell types and that the preferred transactivation target is the EGFR and its relatives<sup>1-4</sup>. The demonstration of the pathophysiological relevance of this novel mechanism in prostate cancer cells leads us to propose that EGFR transactivation via G-protein-mediated proteolytic growth precursor processing represents a general mechanism with broad significance. Moreover, since a great variety of bioactive polypeptides as diverse as TNF- $\alpha$ , FAS-ligand or L-selectin are processing products of transmembrane precursors<sup>29</sup> that have been connected to pathophysiological disorders, our findings shed new light on the importance of membrane-associated proteinases as targets for disease intervention strategies.

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### Claims

1. A method for modulating growth-factor activation comprising  
6 contacting a cell or an organism which contains a growth-factor  
receptor capable of being activated with a modulator of G-protein  
mediated signal transduction.
2. The method of use of claim 1, wherein the activation of the growth-  
10 factor receptor is mediated by its extracellular domain.
3. The method of claim 1 or 2, wherein the activation of the growth-  
factor receptor is mediated via an extracellular signal pathway.
- 15 4. The method of any one of claims 1-3, wherein the growth-factor  
receptor is activated by tyrosine phosphorylation.
5. The method of any one of the previous claims, wherein said growth-  
factor receptor is EGFR.
- 20 6. The method of any one of the previous claims wherein the modulator  
acts on a G-protein, a G-protein coupled receptor and/or a proteinase.
7. The method of claim 6, wherein the modulator acts on a proteinase.
- 25 8. The method of claim 7, wherein said modulator acts on said  
proteinase by directly stimulating or inhibiting the proteinase activity.
9. The method of any one of claims 7 or 8, wherein said proteinase  
30 cleaves a growth-factor ligand precursor.

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10. The method of claim 9, wherein said precursor is a membrane-associated molecule.
11. The method of claim 9 or 10, wherein said growth factor ligand precursor is proHB-EGF and said growth-factor receptor is EGFR.
12. The method of any one of claims 7-11, wherein said proteinase is a membrane-associated proteinase.
13. The method of any one of the claims 7-12, wherein said proteinase is a metalloproteinase.
14. The method of claim 13, wherein said metalloproteinase is a zinc-dependent proteinase.
15. The method of any one of claims 7 to 14, wherein said proteinase activity is inhibited by batimastat.
16. The method of any one of the previous claims, wherein said modulator acts on cell which is different from the cell which contains the growth-factor.
17. The method of any one of the previous claims for the prevention or treatment of disorders associated with or accompanied by a disturbed, e.g. pathologically enhanced growth-factor receptor activation.
18. The method of claim 17 for the treatment of cancer or asthma.
19. The method of any one the previous claims, wherein said modulator is administered as a pharmaceutically acceptable composition.

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20. A method for identifying and providing modulators of G-protein mediated signal transduction comprising contacting a cell which contains a growth-factor receptor capable of being activated with a test compound suspected to be a modulator of G-protein mediated signal transduction and determining the degree of growth-factor receptor activation.

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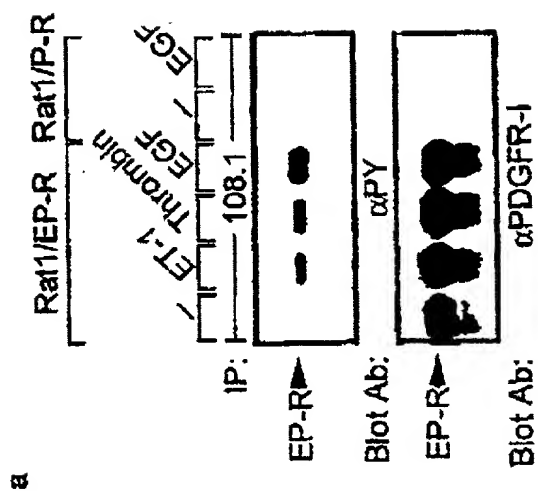
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### Abstract

The present invention relates to agents and methods for growth-factor  
receptor activation by modulating the G-protein mediated signal  
transduction pathway.

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**Fig. 1a**



**b**

Rat1/EP-R:

Thrombin: + + + + +

IP: AG1295 AG1478

αEGFR

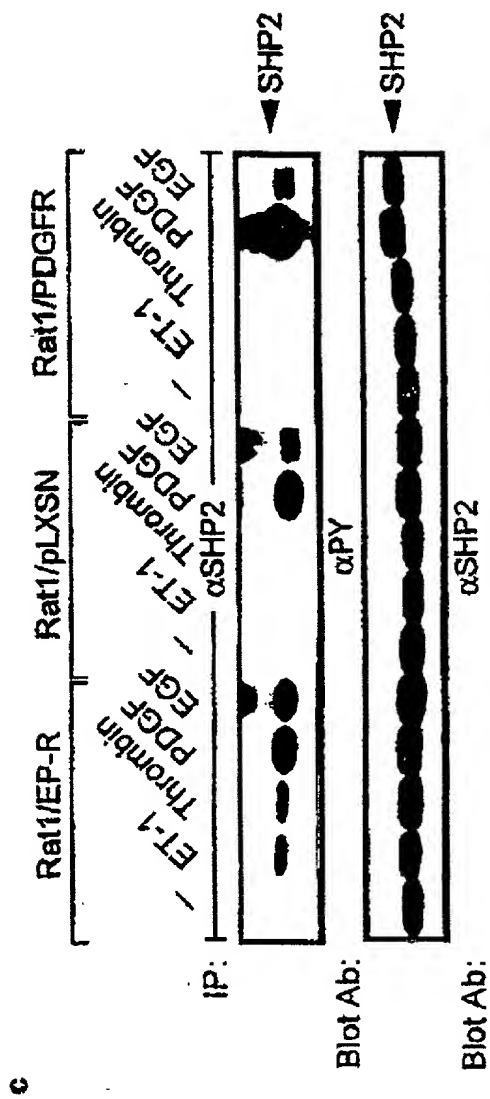
EP-R

Blot Ab: αPY

Blot Ab: αPDGFR-1

Detailed description: The figure shows two Western blot panels. The top panel is labeled 'Rat1/EP-R' and 'Thrombin: + + + + +'. It shows immunoprecipitation (IP) with antibodies AG1295 and AG1478. The blot is probed with αEGFR. The bottom panel shows the same IP samples probed with αPY and αPDGFR-1. Arrows indicate the position of EP-R.

Fig. 1c



**d**

Western blot analysis of SHP2 recruitment to EGFR-E Ab immunoprecipitates. The top panel shows IP:  $\alpha$ SHP2. The bottom panel shows Blot Ab:  $\alpha$ PY and Blot Ab:  $\alpha$ SHP2. An arrowhead points to the SHP2 band in the  $\alpha$ PY blot.

EGFR-E Ab:	ET-1	PDGF	EGF
+	+	+	+
-	-	-	-

IP:  $\alpha$ SHP2

Blot Ab:  $\alpha$ PY

Blot Ab:  $\alpha$ SHP2

SHP2

Fig. 1e

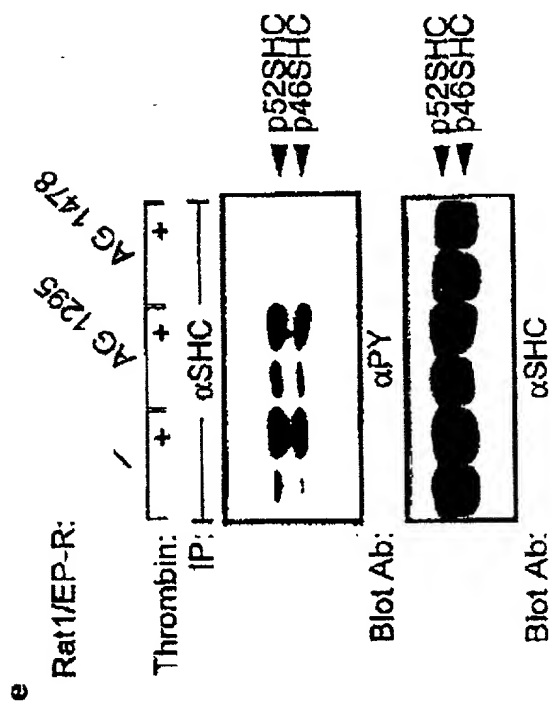


Fig. 2a

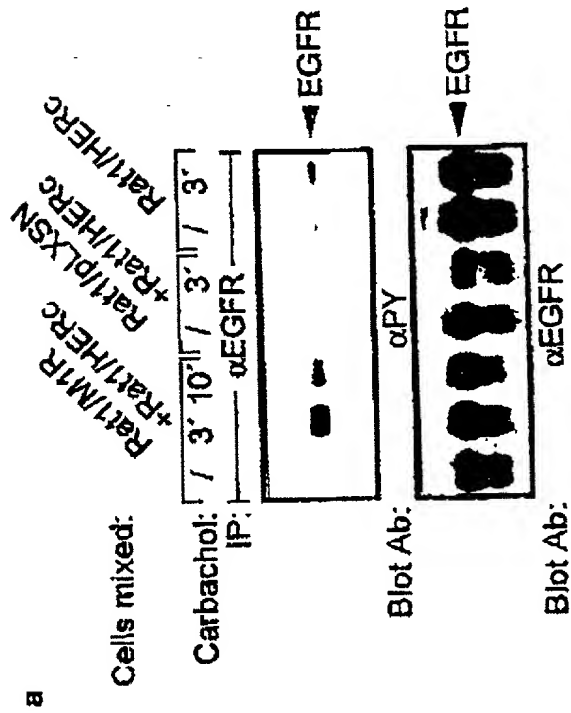
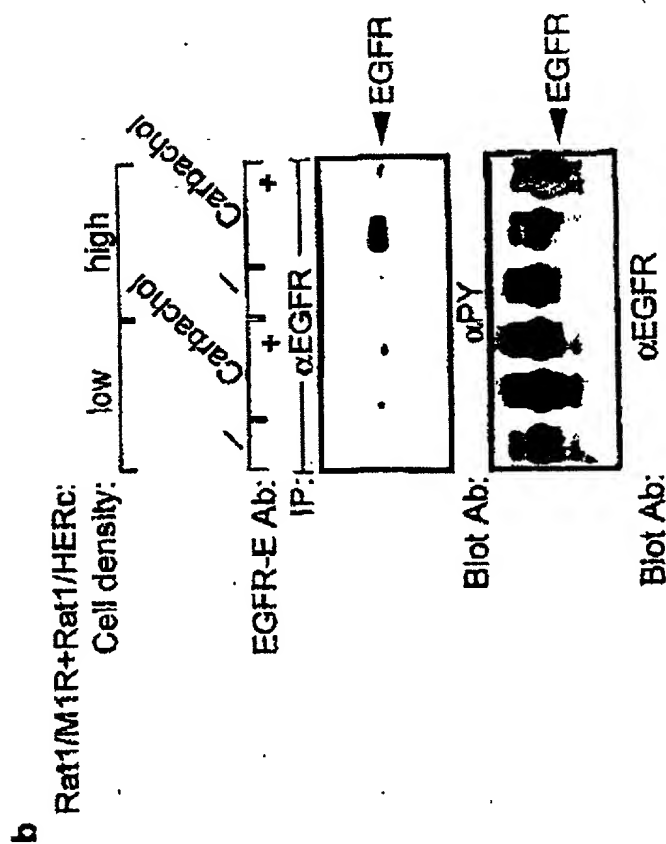


Fig. 2b





	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	2101	2102	2103	2104	2105	2106	2107	2108	2109	2110	2111	2112	2113	2114	2115	2116	2117	2118	2119	2120	2121	2122	2123	2124	2125	2126	2127	2128	2129	2130	2131	2132	2133	2134	2135	2136	2137	2138	2139	2140	2141	2142	2143	2144	2145	2146	2147	2148	2149	2150	2151	2152	2153	2154	2155	2156	2157	2158	2159	2160	2161	2162	2163	2164	2165	2166	2167	2168	2169	2170	2171	2172	2173	2174	2175	2176	2177	2178	2179	2180	2181	2182	2183	2184	2185	2186	2187	2188	2189	2190	2191	2192	2193	2194	2195	2196	2197	2198	2199	2200	2201	2202	2203	2204	2205	2206	2207	2208	2209	2210	2211	2212	2213	2214	2215	2216	2217	2218	2219	2220	2221	2222	2223	2224	2225	2226	2227	2228	2229	2230	2231	2232	2233	2234	2235	2236	2237	2238	2239	2240	2241	2242	2243	2244	2245	2246	2247	2248	2249	2250	2251	2252	2253	2254	2255	2256	2257	2258	2259	2260	2261	2262	2263	2264	2265	2266	2267	2268	2269	2270	2271	2272	2273	2274	2275	2276	2277	2278	2279	2280	2281	2282	2283	2284	2285	2286	2287	2288	2289	2290	2291	2292	2293	2294	2295	2296	2297	2298	2299	2300	2301	2302	2303	2304	2305	2306	2307	2308	2309	2310	2311	2312	2313	2314	2315	2316	2317	2318	2319	2320	2321	2322	2323	2324	2325	2326	2327	2328	2329	2330	2331	2332	2333	2334	2335	2336	2337	2338	2339	2340	2341	2342	2343	2344	2345	2346	2347	2348	2349	2350	2351	2352	2353	2354	2355	2356	2357	2358	2359	2360	2361	2362	2363	2364	2365	2366	2367	2368	2369	2370	2371	2372	2373	2374	2375	2376	2377	2378	2379	2380	2381	2382	2383	2384	2385	2386	2387	2388	2389	2390	2391	2392	2393	2394	2395	2396	2397	2398	2399	2400	2401	2402	2403	2404	2405	2406	2407	2408	2409	2410	2411	2412	2413	2414	2415	2416	2417	2418	2419	2420	2421	2422	2423	2424	2425	2426	2427	2428	2429	2430	2431	2432	2
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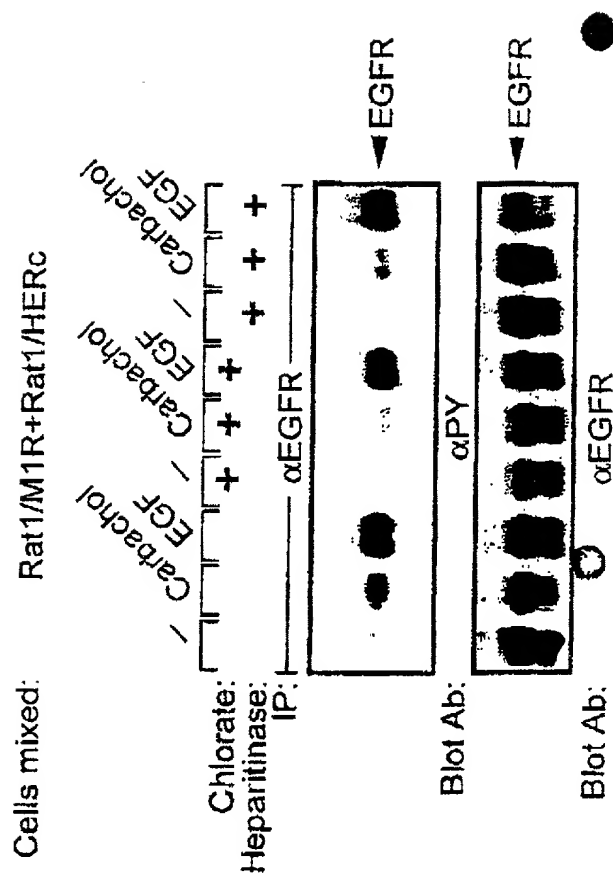
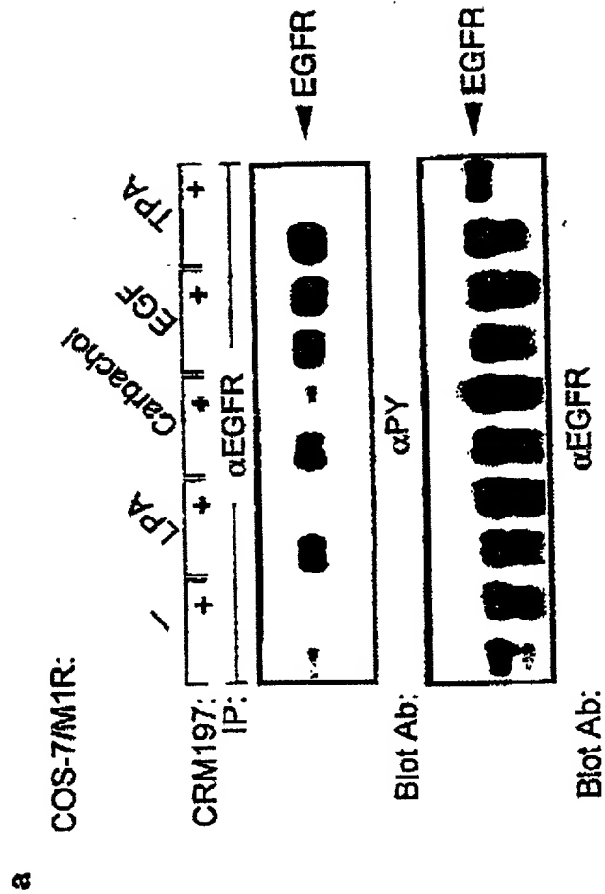


Fig. 3a



**b** HEK-293/ET-R:

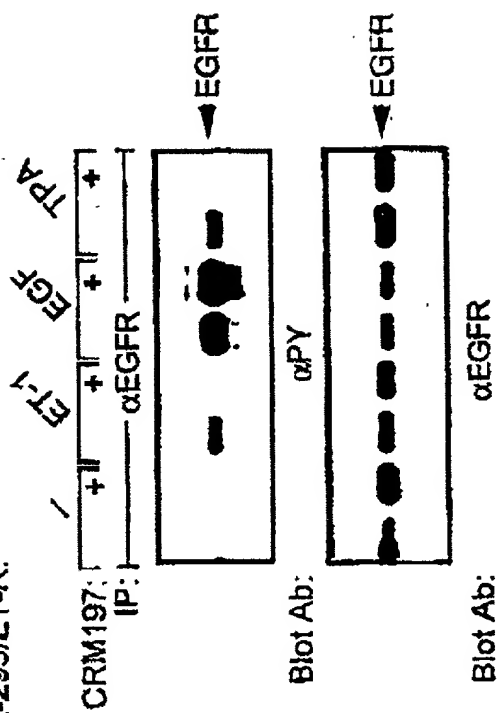


Fig. 3c

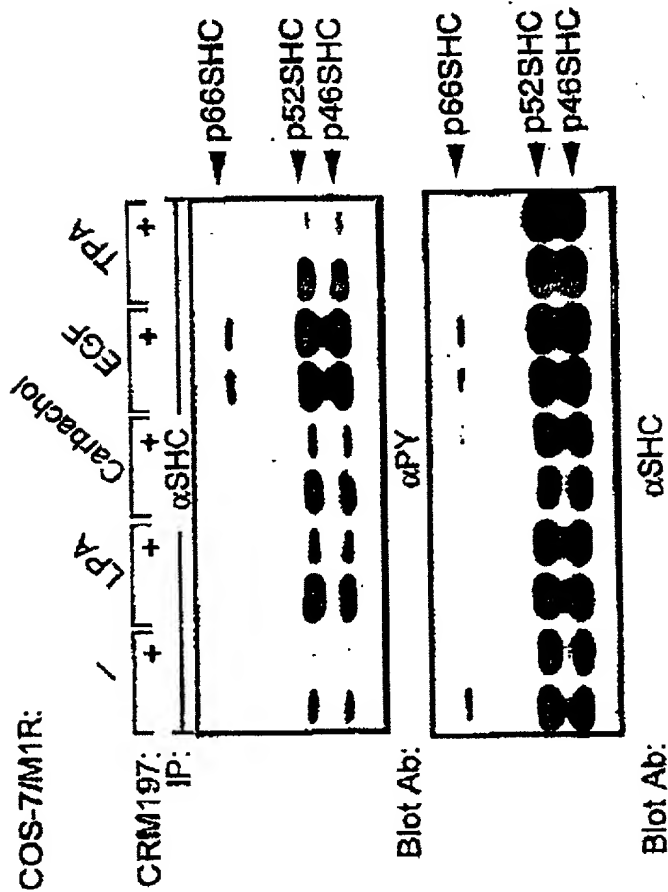


Fig. 3d

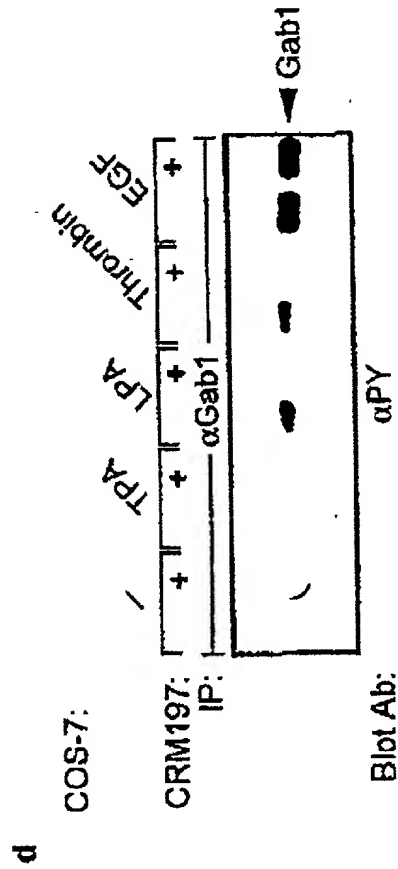


Fig. 4a

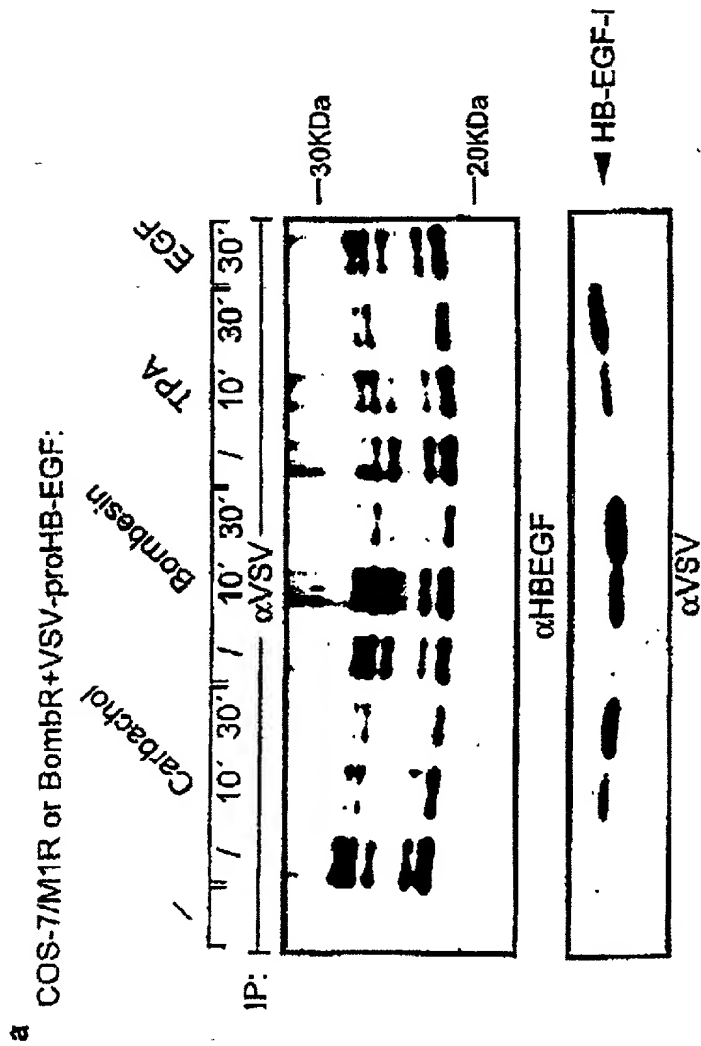


Fig. 4b

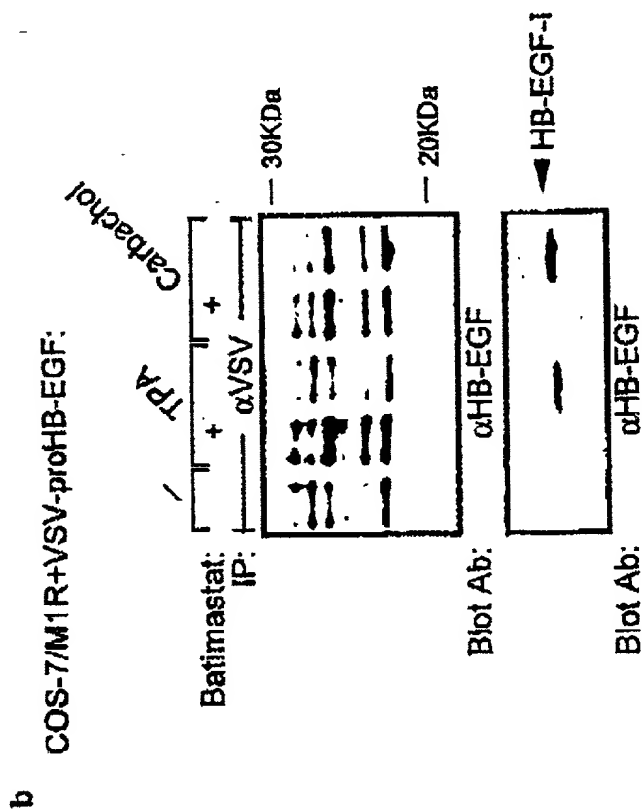
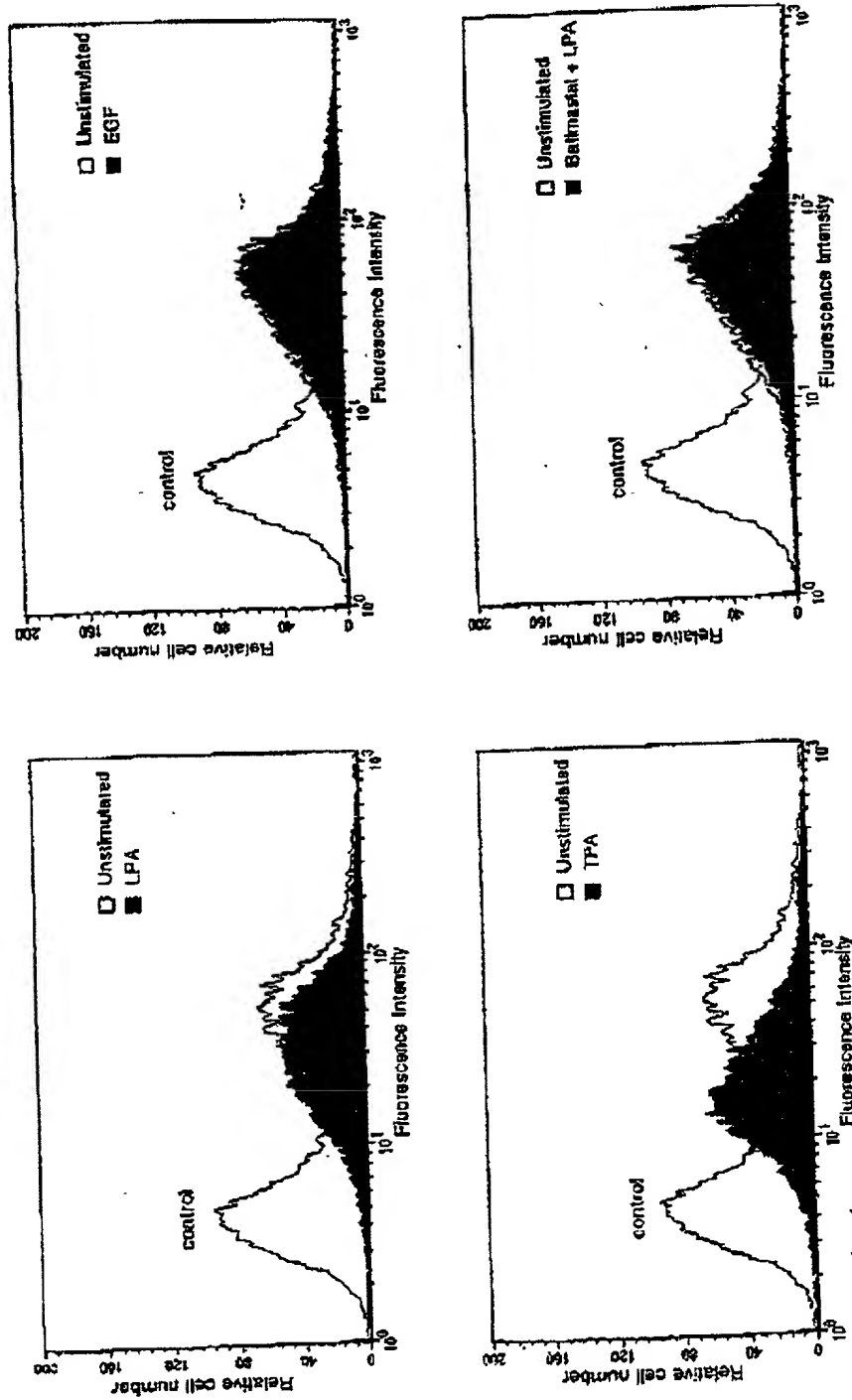


Fig. 4c



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**d**

COS-7/M1R:

	+	+	+	+	+	+	+
	+	+	+	+	+	+	+
Batimastat:	+	+	+	+	+	+	+
IP:	+	+	+	+	+	+	+
TPA	+	+	+	+	+	+	+
EGF	+	+	+	+	+	+	+
Carbachol	+	+	+	+	+	+	+

αEGFR

EGFR

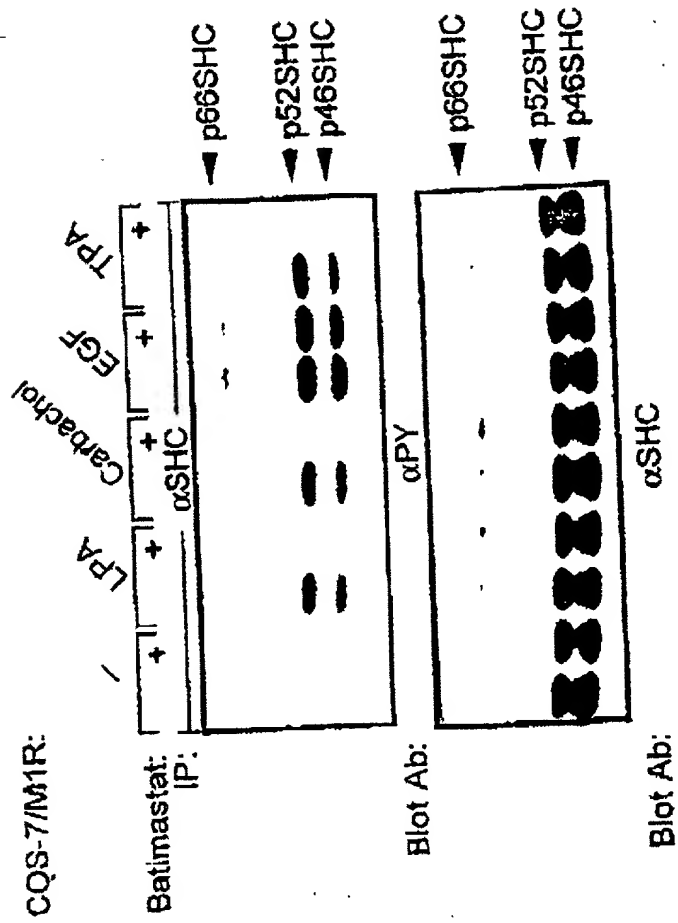
αPY

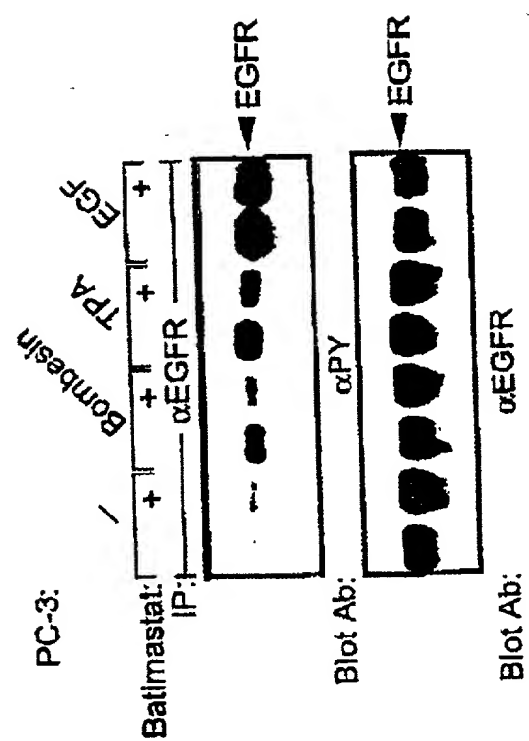
αEGFR

Blot Ab:

Blot Ab:

Fig. 4e





g

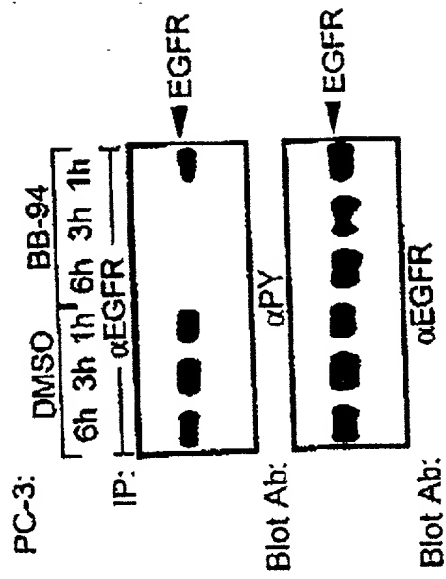
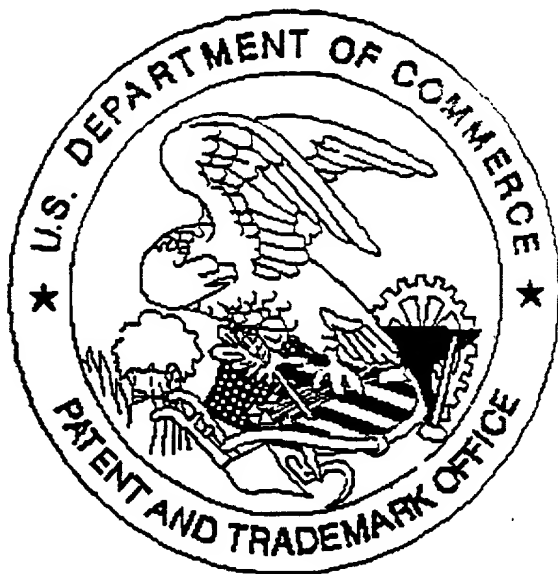


Fig. 4g

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